

## §11.3 EQUIVALENCE RELATIONS

**Def:** A relation  $R$  on a set  $A$  is an **EQUIVALENCE RELATION** IF IT IS

- (1) REFLEXIVE
- (2) SYMMETRIC
- (3) TRANSITIVE

$$R \subseteq A \times A$$

$$\forall x \in A, x R x$$

$$\forall x, y \in A, x R y \Rightarrow y R x$$

$$\forall x, y, z \in A, (x R y \wedge y R z) \Rightarrow x R z$$

SOME SORT OF "SAMENESS"

Relation $R$	Diagram	Equivalence classes (see next page)
<p>"is equal to" (<math>=</math>)</p> <p><math>R_1 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4)\}</math></p>		<p><math>\{-1\}, \{1\}, \{2\},</math>  <math>\{3\}, \{4\}</math></p>
<p>"has same parity as"</p> <p><math>R_2 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4),</math>  <math>(-1, 1), (1, -1), (-1, 3), (3, -1),</math>  <math>(1, 3), (3, 1), (2, 4), (4, 2)\}</math></p>		<p><math>\{-1, 1, 3\}, \{2, 4\}</math></p>
<p>"has same sign as"</p> <p><math>R_3 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4),</math>  <math>(1, 2), (2, 1), (1, 3), (3, 1), (1, 4), (4, 1),</math>  <math>(3, 4), (4, 3), (2, 3), (3, 2), (2, 4), (4, 2)\}</math></p>		<p><math>\{-1\}, \{1, 2, 3, 4\}</math></p> <p style="text-align: center;"><math>\uparrow</math>  <math>[1] = [2] = [3] = [4]</math></p>
<p>"has same parity and sign as"</p> <p><math>R_4 = \{(-1, -1), (1, 1), (2, 2), (3, 3), (4, 4),</math>  <math>(1, 3), (3, 1), (2, 4), (4, 2)\}</math></p>		<p><math>\{-1\}, \{1, 3\}, \{2, 4\}</math></p> <p style="text-align: center;"><math>\uparrow \quad \uparrow \quad \uparrow</math>  <math>[-1] \quad [1]=[3] \quad [2]=[4]</math></p>

Figure 11.2. Examples of equivalence relations on the set  $A = \{-1, 1, 2, 3, 4\}$

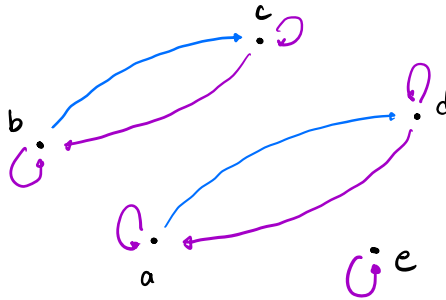
**OBSERVATION:** AN EQUIVALENCE RELATION ON  $A$  DIVIDES  $A$  INTO (DISJOINT) SUBSETS (CALLED **EQUIVALENCE CLASSES**)

**Def** SUPPOSE  $R$  IS AN EQUIVALENCE RELATION ON A SET  $A$ . GIVEN ANY ELEMENT  $a \in A$ , THE **EQUIVALENCE CLASS CONTAINING  $a$**  IS THE SUBSET  $\{x \in A : x R a\}$  OF  $A$  CONSISTING OF ALL ELEMENTS OF  $A$  THAT RELATE TO  $a$ . THIS SET IS DENOTED  $[a]$ .

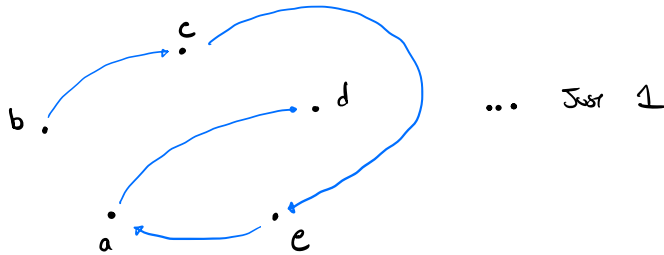
$$[a] = \{x \in A : x R a\}$$

ex. Let  $R$  be equiv. relation  $\equiv \pmod{5}$  on  $\mathbb{Z}$ .  
DESCRIBE THE EQUIVALENCE CLASSES.

3. Let  $A = \{a, b, c, d, e\}$ . Suppose  $R$  is an equivalence relation on  $A$ . Suppose  $R$  has three equivalence classes. Also  $aRd$  and  $bRc$ . Write out  $R$  as a set.

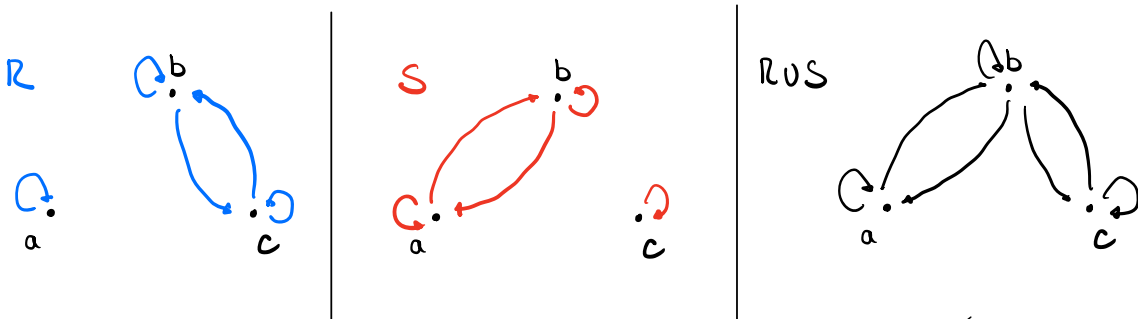


4. Let  $A = \{a, b, c, d, e\}$ . Suppose  $R$  is an equivalence relation on  $A$ . Suppose also that  $aRd$  and  $bRc$ ,  $eRa$  and  $cRe$ . How many equivalence classes does  $R$  have?



12. Prove or disprove: If  $R$  and  $S$  are two equivalence relations on a set  $A$ , then  $R \cup S$  is also an equivalence relation on  $A$ .

let  $A = \{a, b, c\}$



REFLEXIVE ✓  
SYMMETRIC ✓

Not transitive:  $\underbrace{((a, b) \in R \cup S \wedge (b, c) \in R \cup S)}_{\text{True}} \Rightarrow \underbrace{(a, c) \in R \cup S}_{\text{False}} \text{ IS FALSE}$

## § 11.4 EQUIVALENCE CLASSES AND PARTITIONS

**Thm (11.1)** Suppose  $R$  is an equivalence relation on a set  $A$  and  $a, b \in A$ .

$$[a] = [b] \Leftrightarrow a R b.$$

Proof:

$\Rightarrow$

Suppose  $[a] = [b]$ .

Since  $R$  is REFLEXIVE,  $a R a$  and so  
 $a \in \{x \in A : x R a\} = [a] = [b] = \{x \in A : x R b\}$ .  
 $\therefore a R b$ .

$\Leftarrow$

We now show (1)  $a R b \Rightarrow [a] \subseteq [b]$ , and  
(2)  $a R b \Rightarrow [b] \subseteq [a]$ .

(1) Suppose  $a R b$  and  $c \in [a]$ . (We show  $c \in [b]$ .)

Since  $c \in [a] = \{x \in A : x R a\}$ ,  $c R a$   
Now  $c R a$  and  $a R b \Rightarrow c R b$  BY TRANSITIVE Prop. of  $R$ .  
 $\therefore c \in \{x \in A : x R b\} = [b]$ .

(2) Suppose  $a R b$  and  $c \in [b]$ . (We show  $c \in [a]$ .)

Since  $c \in [b] = \{x \in A : x R b\}$ ,  $c R b$ .  
Since  $a R b$  and  $R$  is SYMMETRIC,  $b R a$ .  
Now  $c R b$  and  $b R a \Rightarrow c R a$  BY TRANSITIVE Prop. of  $R$ .  
 $\therefore c \in \{x \in A : x R a\} = [a]$ . ■

**DEF:** A PARTITION of a set  $A$  is a set of non-empty subsets of  $A$  such that

- (1) The union of all the subsets equals  $A$ , and
- (2) The intersection of any 2 different subsets is  $\emptyset$ .

**ex.** List all possible partitions of  $\{a, b, c\}$ .

1.  $\{\{a\}, \{b\}, \{c\}\}$
2.  $\{\{a, b\}, \{c\}\}$
3.  $\{\{a, c\}, \{b\}\}$
4.  $\{\{a\}, \{b, c\}\}$
5.  $\{\{a, b, c\}\}$

Thm (11.2) Suppose  $R$  is an equivalence relation on a set  $A$ .  
 The set  $\{[a] : a \in A\}$  of equivalence classes of  $R$   
 forms a partition of  $A$ .

Proof: We must show (1)  $\bigcup_{a \in A} [a] = A$ , and

(2) if  $[a] \neq [b]$  then  $[a] \cap [b] = \emptyset$ .

(1) (a)  $\bigcup_{a \in A} [a] \subseteq A$ : let  $c \in \bigcup_{a \in A} [a]$ .  
 then  $\exists a \in A$  s.t.  $c \in [a]$ .  
 since  $[a] \subseteq A$ ,  $c \in A$ .

(b)  $A \subseteq \bigcup_{a \in A} [a]$ : let  $c \in A$ .  
 since  $R$  is an equivalence relation,  
 $R$  is REFLEXIVE.  
 $\therefore c R c$  and so  $c \in \{x \in A : x R c\} = [c]$ .  
 $\therefore c \in \bigcup_{a \in A} [a]$  (UNIQUELY  $a=c$ ).

(2) (CONTRADICTION) Suppose  $[a] \cap [b] \neq \emptyset$ . (We show  $[a] = [b]$ .)

Then  $\exists x \in A$  such that  $x \in [a]$  and  $x \in [b]$ .

We have  $x R a$  and  $x R b$ .

Since  $R$  is SYMMETRIC,  $a R x$ .

Thus  $a R x$  and  $x R b$ , so  $a R b$  ( $R$  is TRANSITIVE).

$\therefore$  Thm 11.1 implies  $[a] = [b]$ .

■