

## CH. 7 PROVING NON-CONDITIONAL STATEMENTS

- IF & ONLY IF
- EQUIVALENT STATEMENTS
- EXISTENCE, EXISTENCE & UNIQUENESS
- CONSTRUCTIVE VS. NON-CONSTRUCTIVE PROOFS

### §7.1 IF AND ONLY IF

To prove  $P \Leftrightarrow Q$ , we must prove BOTH conditional statements

$P \Rightarrow Q$  AND  $Q \Rightarrow P$  (CONVERSE STATEMENTS).

FOR EACH, WE CAN USE

- (1) DIRECT PROOF,
- (2) CONTRA POSITIVE, OR
- (3) PROOF BY CONTRADICTION.

**ex.** 2. Suppose  $x \in \mathbb{Z}$ . Then  $x$  is odd if and only if  $3x+6$  is odd.

**Proof:**

FIRST WE SHOW THAT IF  $x$  IS ODD THEN  $3x+6$  IS ODD.

WE DO THIS DIRECTLY.

ASSUME  $x = 2n+1$  FOR SOME  $n \in \mathbb{Z}$ .

THEN  $3x+6 = 3(2n+1)+6 = 6n+8+1 = 2(3n+4)+1$ .

SINCE  $3n+4 \in \mathbb{Z}$ , IT FOLLOWS THAT  $3x+6$  IS ODD.

CONVERSELY, WE NOW SHOW THAT IF  $3x+6$  IS ODD THEN  $x$  IS ODD.

WE DO SO BY PROVING THE CONTRAPOSITIVE STATEMENT:

IF  $x$  IS EVEN THEN  $3x+6$  IS EVEN.

ASSUME  $x = 2n$  FOR SOME  $n \in \mathbb{Z}$ .

THEN  $3x+6 = 3(2n)+6 = 2(3n+3)$ .

SINCE  $3n+3 \in \mathbb{Z}$ , IT FOLLOWS THAT  $3x+6$  IS EVEN.

THIS COMPLETES THE PROOF. ■

### §7.2 EQUIVALENT STATEMENTS

THE FOLLOWING ARE EQUIVALENT:

- (1)  $P(x)$
- (2)  $Q(x)$
- (3)  $R(x)$
- (4)  $S(x)$

MEANS  $(P(x) \Leftrightarrow Q(x)) \wedge (Q(x) \Leftrightarrow R(x)) \wedge (R(x) \Leftrightarrow S(x))$

BUT INSTEAD OF PROVING THESE 6 CONDITIONAL STATEMENTS, WE HAVE OPTIONS.

$P(x) \Rightarrow Q(x)$

$\Uparrow$

$S(x) \Leftarrow R(x)$

4 CONDITIONAL STATEMENTS

IF  $P(x)$  IS TRUE THEN  $Q(x)$  & IF  $Q(x)$  IS TRUE ...

IF  $P(x)$  IS FALSE THEN  $S(x)$  IS FALSE  
& IF  $S(x)$  IS FALSE ...

or  $P(x) \Rightarrow Q(x) \Leftrightarrow S(x)$  (5 conditional statements)

$$\begin{array}{c}
 P(x) \Rightarrow Q(x) \Leftrightarrow S(x) \\
 \uparrow \quad \downarrow \\
 R(x)
 \end{array}$$

OR ANY SET OF IMPLICATIONS SO THAT IF ANY STATEMENT IS TRUE THEN ALL STATEMENTS ARE TRUE, AND IF ANY STATEMENT IS FALSE THEN ALL STATEMENTS ARE FALSE.

Note: More equivalent statements  $\rightarrow$  more ways to prove it.  
e.g. 6 statements (a)-(f):

|                                       |   |   |
|---------------------------------------|---|---|
| $(a) \Rightarrow (b) \Rightarrow (c)$ | $(a) \Rightarrow (b) \Leftrightarrow (c)$ | $(a) \Leftrightarrow (b) \Leftrightarrow (c)$ |
| $\uparrow$                            | $\uparrow$                                | $\updownarrow$                                |
| $(f) \Leftarrow (e) \Leftarrow (d)$   | $(f) \Leftarrow (e) \Leftrightarrow (d)$  | $(f) \Leftrightarrow (e) \Leftrightarrow (d)$ |
| 6 conditional statements              | 7 conditional statements                  | conditional statements                        |

### §7.3 Existence Proofs, Existence & Uniqueness Proofs

**ex. Proposition** There exists an even prime number.

*Proof.* Observe that 2 is an even prime number. ■

**Existence Thm:** There exists a function  $f$  such that  $f$  is differentiable at 0 but  $f'$  is not continuous at 0.

**Proof:** Consider  $f(x) = \begin{cases} x^2 \sin(\frac{1}{x}) & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$ .

$$\text{Then } f'(0) = \lim_{x \rightarrow 0} \frac{f(x) - f(0)}{x - 0} = \lim_{x \rightarrow 0} \frac{x^2 \sin(\frac{1}{x})}{x} = \lim_{x \rightarrow 0} x \sin(\frac{1}{x})$$

Since  $-x \leq x \sin(\frac{1}{x}) \leq x$  for all  $x \neq 0$ , it follows (by the Squeeze Theorem) that

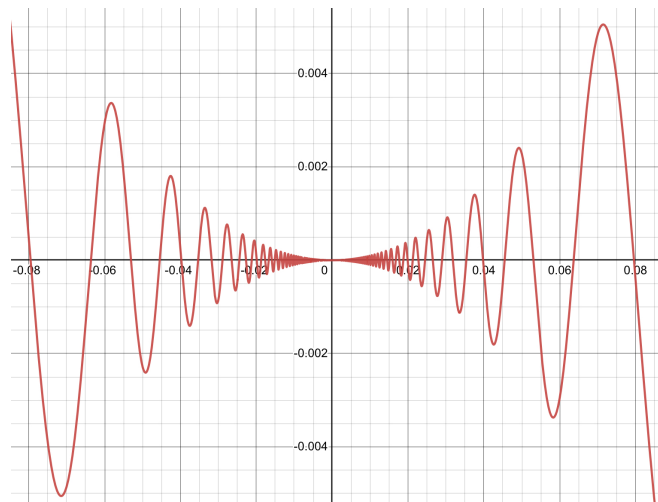
$$f'(0) = \lim_{x \rightarrow 0} x \sin(\frac{1}{x}) = 0.$$

In particular,  $f$  is differentiable at 0.

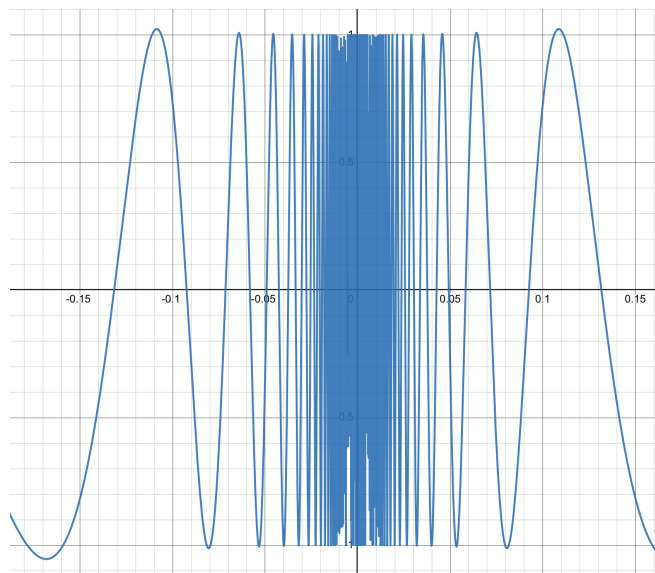
$$\text{Now } f'(x) = \begin{cases} 2x \sin\left(\frac{1}{x}\right) - \cos\left(\frac{1}{x}\right) & \text{IF } x \neq 0 \\ 0 & \text{IF } x = 0 \end{cases} .$$

$$\begin{aligned} \text{But } \lim_{x \rightarrow 0} f'(x) &= \lim_{x \rightarrow 0} 2x \sin\left(\frac{1}{x}\right) - \cos\left(\frac{1}{x}\right) \\ &= \underbrace{\lim_{x \rightarrow 0} 2x \sin\left(\frac{1}{x}\right)}_0 - \underbrace{\lim_{x \rightarrow 0} \cos\left(\frac{1}{x}\right)}_{\text{Does not exist}} . \end{aligned}$$

Thus  $\lim_{x \rightarrow 0} f'(x) \neq f'(0)$ , i.e.  $f'$  is not continuous at  $0$ . ■



$$y = f(x)$$



$$y = f'(x)$$

**Proposition 7.1** If  $a, b \in \mathbb{N}$ , then there exist integers  $k$  and  $l$  for which  $\gcd(a, b) = ak + bl$ .

e.g.  $\gcd(12, 16) = 4 = (3)(12) + (-4)(16)$   
 $\gcd(14, 22) = 2 = (-3)(14) + (2)(22)$

**Proof:** Assume  $a, b \in \mathbb{N}$ .

Consider the set  $A = \{ax + by : x, y \in \mathbb{Z}\}$ .  $A$  contains both pos & neg elements.

Set  $d =$  smallest positive element of  $A$ , with  $d = ak + bl$  for some  $k, l \in \mathbb{Z}$ .

We now show that  $d = \gcd(a, b)$  by showing  $d|a$ ,  $d|b$  (so  $d$  is a common divisor of  $a$  &  $b$ ), and  $d$  is the greatest common divisor of  $a$  &  $b$ .

The division algorithm gives  $a = dq + r$  for some  $q, r \in \mathbb{Z}$  with  $0 \leq r < d$ . That is,

$$r = a - dq = a - (ak + bl)q = a(1 - kq) + b(-lq).$$

Thus  $r \in A$ . Since  $d$  is the smallest positive element of  $A$  and  $0 \leq r < d$ , it follows that  $r = 0$ . Thus  $d|a$ .

A similar argument with  $b = dq + r$  with  $0 \leq r < d$  shows  $d|b$ .

Thus  $d$  is a common divisor of  $a$  &  $b$ .

Let  $a = \gcd(a, b) \cdot m$  and  $b = \gcd(a, b) \cdot n$  for some  $m, n \in \mathbb{Z}$ .

$$\begin{aligned} \text{So } d = ak + bl &= \gcd(a, b) \cdot mk + \gcd(a, b) \cdot nl \\ &= \gcd(a, b) (mk + nl). \end{aligned}$$

Thus  $d$  is a (positive) multiple of  $\gcd(a, b)$  and so  $d \geq \gcd(a, b)$ .

Since  $d$  is a common divisor of  $a, b$ , it cannot be greater than  $\gcd(a, b)$ .

Therefore,  $d = \gcd(a, b)$ . ■

**Example 2.5.3** (The universe is  $\mathbb{R}$ .) There is a unique function  $f(x)$  such that  $f'(x) = 2x$  and  $f(0) = 3$ .

**Proof.** Existence:  $f(x) = x^2 + 3$  works.

Uniqueness: If  $f_0(x)$  and  $f_1(x)$  both satisfy these conditions, then  $f_0'(x) = 2x = f_1'(x)$ , so they differ by a constant, i.e., there is a  $C$  such that  $f_0(x) = f_1(x) + C$ . Hence,

$$3 = f_0(0) = f_1(0) + C = 3 + C. \text{ This gives } C = 0 \text{ and so } f_0(x) = f_1(x). \blacksquare$$

## §7.4 CONSTRUCTIVE VS. NON-CONSTRUCTIVE PROOFS

**Proposition** There exist irrational numbers  $x, y$  for which  $x^y$  is rational.

WE SHOW SUCH THINGS EXIST WITHOUT EXPLICITLY SAYING WHAT THEY ARE



**PROOF:** (NON-CONSTRUCTIVE). Let  $a = \sqrt{2}^{\sqrt{2}}$  &  $b = \sqrt{2}$ .

IF  $a$  IS RATIONAL, THEN SET  $x = \sqrt{2}$ ,  $y = \sqrt{2}$ .  
THAT IS,  $x$  &  $y$  ARE IRRATIONAL AND  $x^y$  IS RATIONAL.

IF  $a$  IS IRRATIONAL, THEN SET  $x = a = \sqrt{2}^{\sqrt{2}}$  &  $y = b = \sqrt{2}$ .  
THEN  $x$  &  $y$  ARE IRRATIONAL AND  $x^y = (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = \sqrt{2}^2 = 2$  IS RATIONAL.

WE GIVE AN EXPLICIT EXAMPLE.



**PROOF:** (CONSTRUCTIVE). Let  $x = \sqrt{2}$  &  $y = \log_2 9$ .

THEN  $x^y = \sqrt{2}^{\log_2 9} = \sqrt{2}^{\log_2 (3^2)} = \sqrt{2}^{2 \log_2 3} = 2^{\log_2 3} = 3$  IS RATIONAL.

SINCE  $\sqrt{2}$  IS IRRATIONAL (PREVIOUSLY PROVED IN CH. 6), WE ARE DONE IF WE CAN SHOW  $\log_2 9$  IS IRRATIONAL.

ASSUME, BY WAY OF CONTRADICTION,  $\log_2 9 = \frac{a}{b}$ ,  $a, b \in \mathbb{Z}$ .

THEN  $2^{a/b} = 9$ , i.e.  $2^a = 9^b$ .

BUT  $2^a$  IS EVEN &  $9^b$  IS ODD  $\neq$ .

